



LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

8854-0006

APPLICATION NO.

09/209,815

APPLICANT

FERSTENBERG ET AL.

FILING DATE

December 11, 1998

GROUP

2767

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Jm	AA	2,134,118	10/25/38	Foss	705	37	
Jm	AB	3,573,747	04/06/71	Adams et al.	705	37	
Jm	AC	3,581,072	05/25/71	Nymeyer et al.	705	37	
Jm	AD	4,412,287	10/25/83	Braddock, III	364	408	
Jm	AE	4,674,044	06/16/87	Kalmus et al.	364	408	
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Jm	AG	4,774,663	09/27/88	Musmanno et al.	364	408	
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Jm	AV	PCT/US94/09398 WO 950.0.6918	03/09/95	PCT application	364	401		

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Jm	AW	Orford, James; "Trading on the Frontier", Plan Sponsor, Oct. 1996
Jm	AX	Kansas, Dave; "OptiMark Technologies to Announce Trading System Aimed at Institutions"; Wall Street Journal; Sept. 24, 1996

Jr	AY	Banks, Howard; "Great Expectations", Forbes, Dec. 2, 1996
Jr	AZ	"NYSE Technology Chief Joins Digital Stock Market Effort; Wit Capital Acquires Software Plus Core Development Team", Business Wire, Sept. 4, 1996
Jr	BA	"Introducing the OptiMark System," Technologies, Inc.
Jr	BB	OptiMark Press Release entitled "OptiMark, IBM, Dow Jones, State Street Bank, Pacific Stock Exchange and Chicago Board Options Exchange Exchange Team Up To Introduce Revolutions Trading System"
Jr	BC	Letter to Attorney Simon at Foley & Lardner from the SEC; Nov. 30, 1994 re Chicago Mach with enclosure "The Chicago Mach Functional Specification Input via extensive text file"
Jr	BD	"ITG POSIT" Brochure
Jr	BE	Printout from Internet of information about "Net Exchange"
Jr	BF	"POSIT, Portfolio System for Institutional Trading", User's Guide
Jr	BG	Mencke, Claire; "Finding the Lowest-Cost Path for Stock Trading", Investor's Business Daily, May 01, 1996
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EXAMINER		DATE CONSIDERED
James W. MYHRE		26 Jul 00
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		